

1954-336
MC:smt



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of)
) Box Missing Parts
Timothy W. SYNOLD, et al.)
)
Serial No. 09/815,300) Attention:
) Applications Branch
Filed: March 23, 2001)
)
For: METHODS OF MODULATING DRUG CLEARANCE
MECHANISMS BY ALTERING SXR ACTIVITY)

RESPONSE TO NOTICE TO FILE MISSING PARTS

Box Missing Parts
Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In response to a Notice to File Missing Parts of Application under 37 CFR 1.53(b) dated June 4, 2001, response copy attached, a Declaration and Power of Attorney identifying the above-referenced application by Serial Number and Filing Date is being herewith submitted. Also attached is a check in payment of the following fees:

\$ 65.00	(surcharge under 37 C.F.R. 1.16(e))
355.00	(basic filing fee)
988.00	(additional claims fee)
135.00	(multiple dependent claim fee)
55.00	(extension of time fee)
<hr/>	
\$1,598.00	TOTAL

Serial No.: 09/815,300

It should be noted that Applicants claimed small entity status in the filing of a Utility Application dated March 23, 2001.


Please charge any additional fees or credit any overpayment for the payment of the filing fee, any extra claims fees or the surcharge to deposit account number 02-2135. An additional copy of this letter is enclosed for that purpose.

The following document(s) is/are being submitted concurrently under separate cover:

- Recordation of Assignment Document
Assignment Document
Information Disclosure Statement (27 refs.)
Preliminary Amendment

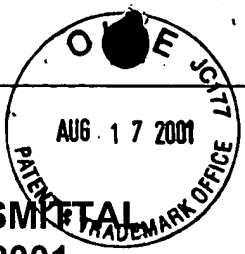
Respectfully submitted,

By


Martha Cassidy
Attorney for Applicants
Registration No. 44,066
ROTHWELL, FIGG, ERNST & MANBECK, p.c.
Suite 701-E, 555 13th Street, N.W.
Washington, D.C. 20004
Telephone: (202) 783-6040

336.NMP

MISSING PARTS #4



FEE TRANSMITTAL
for FY 2001
(Small Entity)

FEE TRANSMITTAL for FY 2001 (Small Entity)		<i>Complete if Known</i>	
		Application Number	09/815,300
		Filing Date	March 23, 2001
		First Named Inventor	Timothy W. Synold, et al.
		Examiner Name	To be assigned
		Group Art Unit	To be assigned
Total Amount of Payment	(\$1,598.00	Attorney Docket Number	1954-336

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge additional fees and credit any overpayment to Deposit Account Number 02-2135 in the name of Rothwell, Figg, Ernst & Manbeck
- ☒ Charge any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☒ Applicant claims small entity status.
2. ☒ Payment Enclosed:
☒ Check
☐ Credit Card

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Fee Code	Fee Paid	Fee Description	Fee Paid
205	65	Surcharge - late filing fee or oath	[65.00]
227	25	Surcharge - late provisional filing fee or cover sheet	[]
139	130	Non-English specification	[]
147	2,520	For filing a request for reexamination	[]
112	920	Requesting publication of SIR prior to Examiner action	[]
113	1,840*	Requesting publication of SIR after Examiner action	[]
215	55	Extension for reply within first month	[55.00]
216	195	Extension for reply within second month	[]
217	445	Extension for reply within third month	[]
218	695	Extension for reply within fourth month	[]
228	945	Extension for reply within fifth month	[]
219	155	Notice of Appeal	[]
220	155	Filing a brief in support of an appeal	[]
221	135	Request for Oral Hearing	[]
138	1,510	Petition to institute a public use proceeding	[]
240	55	Petition to revive -unavoidable	[]
241	640	Petition to revive - unintentional	[]
242	620	Utility issue fee (or reissue)	[]
243	220	Design issue fee	[]
244	300	Plant issue fee	[]
122	130	Petitions to the Commissioner	[]
123	50	Processing fee under 37 CFR 1.17(q)	[]
126	180	Submission of Information Disclosure Statement	[]
581	40	Recording each patent assignment per property (times number of properties)	[]
246	355	Filing a submission after final rejection (37 CFR .129(a))	[]
249	355	For each additional invention to be examined (37 CFR 1.129(b))	[]
279	355	Request for Continued Examination (RCE)	[]
169	900	Request for expedited examination of a design application	[]
195	300	Publication fee for early, voluntary, or normal publication	[]
196	300	Publication fee for republication	[]
089	200	Filing an application for patent term adjustment	[]
090	400	Request for reinstatement of term reduced	[]
Other fee (specify)			[]

FEE CALCULATION

1. FILING FEE

Fee Code	Fee \$	Fee Description	Fee Paid
201	355	Utility filing fee	[355.00]
206	160	Design Filing Fee	[]
207	245	Plant Filing Fee	[]
208	355	Reissue Filing Fee	[]
214	75	Provisional Filing Fee	[]
SUBTOTAL			\$355.00

2. CLAIMS

	Extra Claims	Fee	Fee Paid
Total Claims [112] - 20** = [92] x		\$ 9 =	[828.00]
Independent Claims [7] - 3** = [4] x		40 =	[160.00]
Multiple Dependent Claims +		135 =	[135.00]

**or number previously paid, if greater;

SUBTOTAL \$1,123.00

8/20/2001 HN00R1 00000052 09815300

06 FC:215

55.00 0P

* Reduced by Basic Filing Fee Paid

SUBTOTAL \$120.00

SUBMITTED BY		Complete (if applicable)	
NAME AND REG. NUMBER	Martha Cassidy, Reg. No. 44,066		
SIGNATURE		DATE	8/16/01
		DEPOSIT ACCOUNT USER ID	



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/815,300	03/23/2001	Barry Forman	1954-336

CONFIRMATION NO. 4635

FORMALITIES LETTER



OC000000006143787

6449
ROTHWELL, FIGG, ERNST & MANBECK, P.C.
555 13TH STREET, N.W.
SUITE 701, EAST TOWER
WASHINGTON, DC 20004

Date Mailed: 06/04/2001



NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$2246.
 - \$1656 for 92 total claims over 20.
 - \$320 for 4 independent claims over 3.
 - \$270 for multiple dependent claim surcharge.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 3086.

10/02/2001 MTEKLEMI 00000013 022135 09815300

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A copy of this notice **MUST** be returned with the reply.

Sadu

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/20/2001 HNDOR1 00000052 09815300
01 FC:201 355.00
02 FC:203 828.00
03 FC:202 160.00
04 FC:205 65.00
05 FC:204 135.00



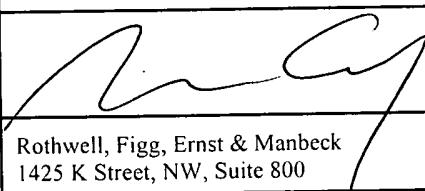
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE	Application No.	09/815,300
	Filing Date	March 23, 2001
	First Named Inventor	Timothy W. SYNOLD
	Group Art Unit	1636
	Examiner Name	David A. Lambertson
	Attorney Docket No.	1954-336
Title of the Invention: METHODS FOR MODULATING DRUG CLEARANCE MECHANISMS BY ALTERING SXR ACTIVITY		

RESPONSE TO RESTRICTION REQUIREMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

In response to the Office Action mailed December 17, 2002, Applicants elect the claims of Group I (claims 1-6, 8, 9, 13-15, 21-23, 63 and 64) without traverse, and respectfully request favorable consideration of the above-captioned application on the merits at this time.

RESPECTFULLY SUBMITTED,					
NAME AND REG. NUMBER	Martha Cassidy, Reg. No. 44,066				
SIGNATURE				DATE	January 17, 2002
Address	Rothwell, Figg, Ernst & Manbeck 1425 K Street, NW, Suite 800				
City	Washington	State	D.C.	Zip Code	20005
Country	U.S.A.	Telephone	202-783-6040	Fax	202-783-6031

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of)
Barry M. FORMAN et al.)
Serial No. 09/815,300) Examiner: To be assigned
Filed: March 23, 2001) Art Unit: 1635
For: METHODS FOR MODULATING DRUG CLEARANCE
MECHANISMS BY ALTERING SXR ACTIVITY)

REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents
Washington, D.C. 20231

Dear Sir:

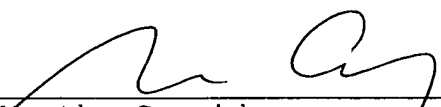
Applicants are in receipt of the official filing receipt dated June 4, 2001, and have noted the following error in the Domestic Priority data as claimed by applicant section.

Please change "WHICH CLAIMS BENEFIT OF" to --AND--.

The change is marked in red on the accompanying copy.

Respectfully submitted,

By


Martha Cassidy
Attorney for Applicants
Registration No. 44,066
ROTHWELL, FIGG, ERNST & MANBECK, p.c.
Suite 701-E, 555 13th Street, N.W.
Washington, D.C. 20004
Telephone: (202) 783-6040

Enclosure(s):

Box-Missing
PARTS

TRANSMITTAL FORM

Complete if Known


Application Number	09/815,300
Filing Date	March 23, 2001
First Named Inventor	Timothy W. Synold, et al.
Examiner Name	To be assigned
Group Art Unit	To be assigned

Total Number of Pages in This Submission	Attorney Docket Number	1954-336
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ENCLOSURES (check all that apply)

- | | | |
|---|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Assignment Papers | <input type="checkbox"/> After Allowance Communication to Group |
| <input checked="" type="checkbox"/> Fee Attached | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input checked="" type="checkbox"/> Preliminary Amendment/Reply | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Status Letter |
| <input checked="" type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input type="checkbox"/> Other Enclosure(s) (please identify below): |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Terminal Disclaimer | |
| <input checked="" type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> Request for Refund | |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | <input type="checkbox"/> CD, Number of CD(s) | |
| <input type="checkbox"/> Response to Missing Parts/Incomplete Application | | |
| <input checked="" type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | | |

REMARKS:

SUBMITTED BY		Complete (if applicable)			
NAME AND REG. NUMBER	Martha Cassidy, Reg. No. 44,066				
SIGNATURE		DATE	8/16/01	DEPOSIT ACCOUNT USER ID	